



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mathur, et al.

Art Unit : 1636

Serial No. : 09/880,729

Examiner : Unknown

Filed : June 12, 2001

Title : CELLULASES, NUCLEIC ACIDS ENCODING THEM AND METHODS FOR MAKING AND USING THEM

1636
#18
[Signature]

Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT

Enclosed for filing in the above-referenced patent application is a Form PTO-1449, being submitted prior to the first Office Action on the merits. Applicants respectfully request consideration of the remarks and references set forth herein.

The following documents are enclosed herewith:

- copy of Form PTO-1449 from parent application
- new Form PTO-1449
- copy of newly cited references
- Postcard

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Date of Deposit

Signature

8/15/02
[Signature: Jeanne Amour-Rice]

Jeanne Amour-Rice

Typed or Printed Name of Person Signing Certificate

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Attorney's Docket No.: 09010-003005

REMARKS

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/880,729 filed on December 27, 1999. Attached please find a copy of PTO-1449 from that application listing references cited by the Examiner. Copies of the newly cited references are also enclosed.

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This statement is being filed before a first Office action on the merits and therefore Applicants believe no fee is required. Please apply any other charges or credits to Deposit Account No. 06-1050.

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Attorney's Docket No.: 09010-003005

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 858 678 5070.

Respectfully submitted,

Date:

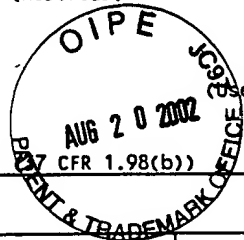
Aug. 14, 2001

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ATTY. DOCKET NO.
09010/030002SERIAL NO.
08/951,889INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

APPLICANT:
Mathur et alFILING DATE
10/16/97GROUP
1819

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | PATENT NUMBER | ISSUE DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|---------------|---------------|----------------|-------|----------|-------------------------------|
| | AA | 5 4 2 0 0 2 9 | 5/30/95 | Gelfand et al. | 435 | 194 | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

| | | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|---------------------|-----------------------------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |

OTHER DOCUMENTS (including Author, Title, Date, Place of Publication)

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|--|----|--|
| | AQ | Ooi et al., Expression of the Cellulase (F1-CM Case) Gene..., Biosci. Biotech. Biochem 57(11):1960-1961 (1993) |
| | AR | Ooi et al., Expression of the Cellulase (F1-CM Case) Gene..., Biosci. Biotech. Biochem 58(5):954-956 (1994) |
| | AS | Gilbert et al., Evidence of Multiple Carboxymethyl Cellulase Genes..., Molecular Genetic Gene 210:551-556 (1987) |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.